

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Rodney J. Kempkes, et al.

Serial No.: 09/849,777

Filed: May 4, 2001

For: System, Method, And Business Method

For Settling Micropayments

Transactions To A Prepaid Instrument

Group Art Unit: 2182

Examiner: Lazena Martin

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Technology Center 2100

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with 37 CFR §§ 1.97 and 1.98, the items identified in this Information Disclosure Statement ("IDS") are brought to the attention of the Office. The items are listed on the attached form PTO-1449 and copies are enclosed for the convenience of the Examiner.

The items identified in this IDS may or may not be "material" pursuant to 37 CFR § 1.56. The submission thereof by Applicant is not to be construed as an admission that any such patent, publication or other information referred to therein is material or considered to be material (37 CFR § 1.97(h)), or even qualifies as "prior art" under 35 USC § 102 with respect to this invention unless specifically designated by Applicant as such.

INFORMATION DISCLOSURE STATEMENT FILING PROVISION:

 \boxtimes This IDS is believed to be timely in that it is being submitted under 37 CFR § 1.97(b), that is (1) within three months of the filing date of the application, which is not a continued prosecution application filed under § 1.53(d); or (2) within three months of entry of the national stage as set forth in 37 CFR § 1.491; or (3) before the mailing of a first Office action on the merits; or (4) before the

> CERTIFICATE OF MAILING (37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

Michele A. Zarinelli

	ailing of a f	nired.			
		However, if the undersigned is in error in this regard, Applicant respectfully requests that the Office consider this IDS as filed under 37 CFR § 1.97(c), if applicable, and charge the fee due under 37 CFR §1.17(p) to the deposit account referenced below.			
		However, if the undersigned is in error in this regard, Applicant respectfully requests that the Office consider this IDS as filed under 37 CFR § 1.97(c), if applicable, and a statement under 37 CFR § 1.97(e) is included below, thus no fee is required.			
This IDS is being submitted under 37 CFR § 1.97(c), that is after mailing of a fin action on the merits, but before a Final Action under 37 CFR § 1.113 or a Notice of Allounder 37 CFR § 1.311.					
		The fee due under 37 CFR § 1.17(p) is submitted herewith.			
		A statement under 37 CFR § 1.97(e) is included below, thus no fee is required. In the event that this IDS is not received before a Final Action or a Notice of Allowance, then Applicant respectfully requests that the Office consider the filing of these papers to be submitted under 37 CFR § 1.97(d) and charge the fee due under 37 CFR § 1.17(p) to the deposit account below.			
A	FR § 1.113	IDS is being submitted under 37 CFR § 1.97(d), that is after a Final Action under 37 or a Notice of Allowance under 37 CFR § 1.311, but before payment of the issue fee. under 37 CFR § 1.97(e) is included below. The fee due under 37 CFR § 1.17(p) is rewith.			
		STATEMENT UNDER 37 CFR § 1.97(e):			
] Each	item contained in this IDS was first cited in any communication from a foreign patent			
office in a counterpart foreign application not more than three months prior to the filing of this II					
] No it	em contained in this IDS was cited in a communication from a foreign patent office in a			
counterpart foreign application, and, to the knowledge of the person signing this statement after					
m	aking reaso	onable inquiry, no item of information contained in this IDS was known to any			
in	dividual de	esignated in 37 CFR § 1.56(c) more than three months prior to the filing of this IDS.			

PAYMENT AND/OR AUTHORIZATION TO CHARGE FEES:							
	A check in the amount of is enclosed for the above fee(s).						
	Please charge Deposit Account No. 50-1880 for the above fee(s).						
	Respectfully submitted, WEST CORPORATION						
Dated	By: Rocco L. Adornato Reg. No. 40,480						

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PATENT & TRADEMARK OFFICE

WEST CORPORATION 11808 Miracle Hills Drive Omaha, NE 68154

PTO/SB/08B (04-03) Approved for use through 04/30/2003. OMB 0651-0031

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7 & TR	Application Number	09/849,777				
INFORMATION DISCLOSURE	Filing Date	May 4, 2001			May 4, 2001	
STATEMENT BY APPLICANT	First Named Inventor	Rodney J. Kempkes				
(Use as many sheets as necessary)	Art Unit	2182				
(Use as many sneets as necessary)	Examiner Name	Lazena Martin				
Sheet 1 of 1	Attorney Docket Number	PAT-007				

		NON PATENT LITERATURE DOCUMENTS		
Examiner Initials*				
	ВА	ECashServices.com, Documents from www.ecashservices.com, October 23, 2001.		
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Examiner	Date	
Signature	 Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.